When you transition to AssetMark,

Our experienced team will support you every step of the way

Discovery

Our team will work with you to:

- Understand your business and transition support needs
- Customize a plan and agreement
- Develop an investment strategy for your client relationships
- Help organize your client data to import into our system

Signature-Ready Documents

When the client data is received we'll help you:

- Create client proposals and signature-ready account-establishment paperwork
- Print and mail paperwork to your office in batch or bulk
- Actively monitor the transfer and funding process

Onboarding & Implementation

When account establishment paperwork is received, the team:

- Provides ongoing monitoring and conversion support during client relationship transition

Ongoing Support

The transition team will customize administrative training, if needed, and prepare you for continued success.

Your successful transition is a preview of the service you'll receive from your Relationship team. No matter what your unique needs are, our experienced, professional teams can handle it.

Over **11** years' experience	More than **200** advisors successfully transitioned	**$2b** assets moved to the AssetMark platform

AssetMark Transition Services

TELL US ABOUT YOU

Firm Name: ______________________ Advisor Name: ______________________

Phone: ______________________ Fax: ______________________

TRANSITION DISCOVERY

Initial AUM Commitment: $ ______________ Number of household accounts to be transferred: ________

How do you plan on transferring your assets? ☐ Cash ☐ In-kind securities

Where are your assets currently custodied? ______________________

Which custodian(s) do you plan to transition assets to? (check all that apply)

☐ AssetMark Trust Company ☐ Pershing ☐ TD Ameritrade ☐ Fidelity

Will this transition include clients from multiple financial advisors in your office? ☐ Yes ☐ No

Do you have administrative support staff assisting you with the transition? ☐ Yes ☐ No

What is your expected time frame to submit all signed paperwork to AssetMark?

☐ 0 – 3 months ☐ 3 – 6 months ☐ 6 – 9 months ☐ 9+ months

SYSTEMS/DATA DELIVERY

Are you currently using a CRM system (e.g. RedTail, Salesforce, etc.)?

If yes, which one? ______________________

Do you currently have access to electronic client data files? ☐ Yes ☐ No

Do you have access to cost-basis information? ☐ Yes ☐ No

Return this form to your AssetMark Consultant, or fax to 866-513-5615

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